Consultation on the White Paper on Artificial Intelligence - A European Approach

Fields	marked	with *	are	mandatory	/.

Introduction

Artificial intelligence (AI) is a strategic technology that offers many benefits for citizens and the economy. It will change our lives by improving healthcare (e.g. making diagnosis more precise, enabling better prevention of diseases), increasing the efficiency of farming, contributing to climate change mitigation and adaptation, improving the efficiency of production systems through predictive maintenance, increasing the security of Europeans and the protection of workers, and in many other ways that we can only begin to imagine.

At the same time, AI entails a number of potential risks, such as risks to safety, gender-based or other kinds of discrimination, opaque decision-making, or intrusion in our private lives.

The <u>European approach for AI</u> aims to promote Europe's innovation capacity in the area of AI while supporting the development and uptake of ethical and trustworthy AI across the EU. According to this approach, AI should work for people and be a force for good in society.

For Europe to seize fully the opportunities that AI offers, it must develop and reinforce the necessary industrial and technological capacities. As set out in the accompanying European strategy for data, this also requires measures that will enable the EU to become a global hub for data.

The current public consultation comes along with the <u>White Paper on Artificial Intelligence - A European Approach</u> aimed to foster a European ecosystem of excellence and trust in Al and a Report on the safety and liability aspects of Al. The White Paper proposes:

- Measures that will streamline research, foster collaboration between Member States and increase investment into AI development and deployment;
- Policy options for a future EU regulatory framework that would determine the types of legal requirements that would apply to relevant actors, with a particular focus on high-risk applications.

This consultation enables all European citizens, Member States and relevant stakeholders (including civil society, industry and academics) to provide their opinion on the White Paper and contribute to a European approach for AI. To this end, the following questionnaire is divided in three sections:

• Section 1 refers to the specific actions, proposed in the White Paper's Chapter 4 for the building of an ecosystem of excellence that can support the development and uptake of AI across the EU economy and public administration;

- Section 2 refers to a series of options for a regulatory framework for AI, set up in the White Paper's Chapter 5;
- Section 3 refers to the Report on the safety and liability aspects of AI.

Respondents can provide their opinion by choosing the most appropriate answer among the ones suggested for each question or suggesting their own ideas in dedicated text boxes.

Feedback can be provided in one of the following languages:

 $\frac{\mathsf{BG}\,|\,\mathsf{CS}\,|\,\mathsf{DE}\,|\,\mathsf{DA}\,|\,\mathsf{EL}\,|\,\mathsf{EN}\,|\,\mathsf{ES}\,|\,\mathsf{ET}\,|\,\mathsf{FI}\,|\,\mathsf{FR}\,|\,\mathsf{HR}\,|\,\mathsf{HU}\,|\,\mathsf{IT}\,|\,\mathsf{LT}\,|\,\mathsf{LV}\,|\,\mathsf{MT}\,|\,\mathsf{NL}\,|\,\mathsf{PL}\,|\,\mathsf{PT}\,|\,\mathsf{RO}\,|\,\mathsf{SK}\,|\,\mathsf{SL}\,|\,\mathsf{S}\,|\,\mathsf{V}}{\mathsf{V}}$

Written feedback provided in other document formats, can be uploaded through the button made available at the end of the questionnaire.

The survey will remain open until 31 May 2020.

About you

- *Language of my contribution
 - Bulgarian
 - Croatian
 - Czech
 - Danish
 - Dutch
 - English
 - Estonian
 - Finnish
 - French
 - Gaelic
 - German
 - Greek
 - Hungarian
 - Italian
 - Latvian
 - Lithuanian
 - Maltese
 - Polish
 - Portuguese
 - Romanian
 - Slovak
 - Slovenian
 - Spanish
 - Swedish
- *I am giving my contribution as

Business association Company/business organisation Consumer organisation EU citizen Environmental organisation Non-EU citizen Non-governmental organisation (NGO) Public authority Trade union Other
* First name
*Surname
*Email (this won't be published)
*Scope
* Organisation name 255 character(s) maximum
 Organisation size Micro (1 to 9 employees) Small (10 to 49 employees) Medium (50 to 249 employees) Large (250 or more)
Transparency register number
255 character(s) maximum Check if your organisation is on the transparency register. It's a voluntary database for organisations seeking to influence EU decision-making.

* Country of origin Please add your country of

Pleas	e add your country of origin, or	that of your organisation.				
	Afghanistan	Djibouti		Libya		Saint Martin
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	Bosnia and	Guam		Nepal		Syria
	Herzegovina					

BotswanaBouvet IslandBrazilBritish IndianOcean Territory	GuatemalaGuernseyGuineaGuinea-Bissau	NetherlandsNew CaledoniaNew ZealandNicaragua	TaiwanTajikistanTanzaniaThailand
British VirginIslands	Guyana	Niger	The Gambia
BruneiBulgaria	HaitiHeard Island and McDonald Islands	NigeriaNiue	Timor-LesteTogo
Burkina FasoBurundi	HondurasHong Kong	Norfolk IslandNorthernMariana Islands	TokelauTonga
Cambodia	Hungary	North Korea	Trinidad and Tobago
Cameroon	Iceland	NorthMacedonia	Tunisia
Canada	India	Norway	Turkey
Cape Verde	Indonesia	Oman	Turkmenistan
Cayman Islands	Iran	Pakistan	Turks and Caicos Islands
Central African Republic	Iraq	Palau	Tuvalu
Chad	Ireland	Palestine	Uganda
Chile	Isle of Man	Panama	Ukraine
China	Israel	Papua New Guinea	United Arab Emirates
Christmas Island	Italy	Paraguay	UnitedKingdom
Clipperton	Jamaica	Peru	United States
Cocos (Keeling) Islands	Japan	Philippines	United StatesMinor OutlyingIslands
Colombia	Jersey	Pitcairn Islands	Uruguay
Comoros	Jordan	Poland	US Virgin Islands
Congo	Kazakhstan	Portugal	Uzbekistan
Cook Islands	Kenya	Puerto Rico	Vanuatu
Costa Rica	Kiribati	Qatar	Vatican City
Côte d'Ivoire	Kosovo	Réunion	Venezuela
Croatia	Kuwait	Romania	Vietnam
Cuba	Kyrgyzstan	Russia	Wallis and Futuna
Curação	Laos	Rwanda	WesternSahara
Cyprus	Latvia	Saint Barthélemy	Yemen

Czechia	Lebanon	Saint Helena	Zambia
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Democratic	Lesotho	Saint Kitts and	Zimbabwe
Republic of the		Nevis	
Congo			
Denmark	Liberia	Saint Lucia	

*Publication privacy settings

The Commission will publish the responses to this public consultation. You can choose whether you would like your details to be made public or to remain anonymous.

Anonymous

Only your type of respondent, country of origin and contribution will be published. All other personal details (name, organisation name and size, transparency register number) will not be published.

Public

Your personal details (name, organisation name and size, transparency register number, country of origin) will be published with your contribution.

I agree with the <u>personal data protection provisions</u>

Section 1 - An ecosystem of excellence

To build an ecosystem of excellence that can support the development and uptake of Al across the EU economy, the White Paper proposes a series of actions.

In your opinion, how important are the six actions proposed in section 4 of the White Paper on AI (1-5: 1 is not important at all, 5 is very important)?

	1 - Not important at all	2 - Not important	3 - Neutral	4 - Important	5 - Very important	No opinion
Working with Member states	0	0	0	0	0	0
Focussing the efforts of the research and innovation community	0	0	0	0	•	0
Skills	0	0	0	0	0	0
Focus on SMEs	0	0	0	0	0	0
Partnership with the private sector	0	0	0	0	0	0
Promoting the adoption of AI by the public sector	0	0	0	0	0	0

Are there other actions that should be considered?	
500 character(s) maximum	

Revising the Coordinated Plan on AI (Action 1)

The Commission, taking into account the results of the public consultation on the White Paper, will propose to Member States a revision of the Coordinated Plan to be adopted by end 2020.

In your opinion, how important is it in each of these areas to align policies and strengthen coordination as described in section 4.A of the White Paper (1-5: 1 is not important at all, 5 is very important)?

	1 - Not important at all	2 - Not important	3 - Neutral	4 - Important	5 - Very important	No opinion
Strengthen excellence in research	0	0	0	0	0	0
Establish world-reference testing facilities for AI	0	0	0	0	0	0
Promote the uptake of AI by business and the public sector	0	0	0	0	0	0
Increase the financing for start-ups innovating in AI	0	0	0	0	0	0
Develop skills for AI and adapt existing training programmes	0	0	0	0	0	0
Build up the European data space	0	0	0	0	0	0

ning forces at all levels, from basic research to deployment, will be key to overcome fragmentation and ate synergies between the existing networks of excellence. your opinion how important are the three actions proposed in sections 4.B, 2 and 4.E of the White Paper on AI (1-5: 1 is not important at all, 5 is very portant)? 1 - Not						
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and 4.E of the White						
	important					
of a lighthouse research	0	0	0	0	0	0
Network of existing AI research excellence centres	0	0	0	0	0	0
Set up a public-private partnership for industrial research	0	0	0	0	0	0
e there any other action mmunity that should to the character (s) maximum		_	e reseai	ch and in	novation	
cusing on Small and Medium			* a* lagat a	no digital inno	ovation hub p	or

	1 - Not important at all	2 - Not important	3 - Neutral	4 - Important	5 - Very important	No opinion
Help to raise SME's awareness about potential benefits of AI	0	0	0	0	0	0
Provide access to testing and reference facilities	0	0	0	0	0	0
Promote knowledge transfer and support the development of AI expertise for SMEs	©	0	0	0	0	•
Support partnerships between SMEs, larger enterprises and academia around AI projects	0	0	0	0	0	•
Provide information about equity financing for Al startups	0	0	0	0	0	0

Are there any other tasks that you consider important for specialised Digital Innovations Hubs?

5	i00 character(s) maximum

Section 2 - An ecosystem of trust

Chapter 5 of the White Paper sets out options for a regulatory framework for AI.

In your opinion, how important are the following concerns about AI (1-5: 1 is not important at all, 5 is very important)?

	1 - Not important at all	2 - Not important	3 - Neutral	4 - Important	5 - Very important	No opinion
Al may endanger safety	0	0	0	0	0	0
Al may breach fundamental rights (such as human dignity, privacy, data protection, freedom of expression, workers' rights etc.)	©	0	0	0	0	©

Al may take actions for which the rationale cannot be explained Al may make it more difficult for persons having suffered harm to obtain compensation Al is not always accurate Do you have any other concerns about Al that are not mention please specify: 500 character(s) maximum Do you think that the concerns expressed above can be addragolicable EU legislation? If not, do you think that there should be used to be a specify sufficient. Current legislation is fully sufficient. Current legislation may have some gaps. There is a need for a new legislation. Other. No opinion Other, please specify. f you think that new rules are necessary for Al system, do you ntroduction of new compulsory requirements should be limit applications (where the possible harm caused by the Al systemigh)? Yes No Other No opinion Other, please specify:	'	discriminatory outcomes						
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The use of AI may lead to

Do you agree with the approach to determine "high-risk" Al applications proposed in Section 5.B of the White Paper?

Yes	
No	
Other	
No opinion	
Other, please specify:	
500 character(s) maximum	
If you wish, please indica ("high-risk") from your pe	te the AI application or use that is most concerning erspective:

In your opinion, how important are the following mandatory requirements of a possible future regulatory framework for AI (as section 5.D of the White Paper) (1-5: 1 is not important at all, 5 is very important)?

	1 - Not important at all	2 - Not important	3 - Neutral	4 - Important	5 - Very important	No opinion
The quality of training data sets	0	0	0	0	0	0
The keeping of records and data	0	0	0	0	0	0
Information on the purpose and the nature of AI systems	0	0	0	0	0	0
Robustness and accuracy of AI systems	0	0	0	0	0	0
Human oversight	0	0	0	0	0	0
Clear liability and safety rules	0	0	0	0	0	0

In addition to the existing EU legislation, in particular the data protection framework, including the General Data Protection Regulation and the Law Enforcement Directive, or, where relevant, the new possibly mandatory requirements foreseen above (see question above), do you think that the use of remote biometric identification systems (e.g. face recognition) and other technologies which may be used in public spaces need to be subject to further EU-level guidelines or regulation:

	No	further	guidelines	or regu	lations	are	needed
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Biometric identification systems should be allowed in publicly accessible spaces only in certain cases or if certain conditions are fulfilled (please specify)

Other special requirements in addition to those mentioned in the question

- Other special requirements in addition to those mentioned in the question above should be imposed (please specify)
- Use of Biometric identification systems in publicly accessible spaces, by way of exception to the current general prohibition, should not take place until a specific guideline or legislation at EU level is in place.
- Biometric identification systems should never be allowed in publicly accessible spaces
- No opinion

Please specify your answer:
Do you believe that a voluntary labelling system (Section 5.G of the White Paper) would be useful for AI systems that are not considered high-risk in addition to existing legislation?
Very muchMuch
Rather not
Not at all
No opinion
Do you have any further suggestion on a voluntary labelling system?
500 character(s) maximum
What is the best way to ensure that AI is trustworthy, secure and in respect of European values and rules?
 Compliance of high-risk applications with the identified requirements should be self-assessed ex-ante (prior to putting the system on the market) Compliance of high-risk applications should be assessed ex-ante by means
of an external conformity assessment procedure
Ex-post market surveillance after the Al-enabled high-risk product or service has been put on the market and, where needed, enforcement by relevant competent authorities
A combination of ex-ante compliance and ex-post enforcement mechanisms
Other enforcement system No amining
No opinion
Please specify any other enforcement system:
500 character(s) maximum

Do you have any further suggestion on the assessment of compliance?

500 character(s) maximum
Section 3 – Safety and liability implications of AI, IoT and robotics
The overall objective of the safety and liability legal frameworks is to ensure that all products and services, including those integrating emerging digital technologies, operate safely, reliably and consistently and that damage having occurred is remedied efficiently.
The current product safety legislation already supports an extended concept of safety protecting against all kind of risks arising from the product according to its use. However, which particular risks stemming from the use of artificial intelligence do you think should be further spelled out to provide more legal certainty?
 Cyber risks Personal security risks Risks related to the loss of connectivity Mental health risks
In your opinion, are there any further risks to be expanded on to provide more legal certainty?
500 character(s) maximum
Do you think that the safety legislative framework should consider new risk assessment procedures for products subject to important changes during their lifetime?
YesNoNo opinion
Do you have any further considerations regarding risk assessment procedures?
500 character(s) maximum
Do you think that the current EU legislative framework for liability (Product Liability Directive) should be amended to better cover the risks engendered by certain Al applications? Yes No No opinion

Do you have any further considerations regarding the question above? 500 character(s) maximum
Do you think that the current national liability rules should be adapted for the operation of AI to better ensure proper compensation for damage and a fair allocation of liability? Output Output
Please specify the AI applications:
Do you have any further considerations regarding the question above? 500 character(s) maximum

Thank you for your contribution to this questionnaire. In case you want to share further ideas on these topics, you can upload a document below.

You can upload a document here:

The maximum file size is 1 MB
Only files of the type pdf,txt,doc,docx,odt,rtf are allowed